

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/812,400         | 03/19/2001          | Lester F. Ludwig      | LUDW-001/02-03US       |

## CONFIRMATION NO. 7356

## FORMALITIES LETTER



\*OC00000006156634\*

Lester F. Ludwig  
P.O. Box 950  
Belmont, CA 94002

Date Mailed: 06/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2001 EABUBAK1 00000046 09812400

FILED UNDER 37 CFR 1.53(b)

01 FE:205

65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



08-07-01

#SECTOR#

Attorney Docket No: LUDW-001/02-03US

PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail Post Office to Addressee in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on August 6, 2001.

By:

A handwritten signature in black ink, appearing to read "Lester F. Ludwig".

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Lester F. Ludwig

Serial No.: 09/812,400

Examiner:

Filed: March 19, 2001

Art Unit: 2837

For: Processing and Generation of Control Signals for Real-Time Control of Music Signal Processing, Mixing, Video, and Lighting (*continuation divisional of U.S. Application Serial Number 09/313,533 filed May 15, 1999, in turn based on U.S. Provisional Serial Number 60/085,713 filed May 15, 1998*).

Assistant Commissioner for Patents  
Washington, D.C. 20231

### TRANSMITTAL

In response to the Notice of Missing Parts, please find transmitted herewith:

- Declaration and Oath
- Double-Spaced and Adjusted Margin text for Continuation Divisional Patent Application filed March 19, 2001 with no new matter added.
- Other: Return Postcard
- Missing Parts Fee \$65.00

**TOTAL FEES: \$65.00**

A handwritten note in black ink. It starts with an arrow pointing to the right, followed by the words "Continued" and "next page".



[X] Credit Card Payment Form PTO-2038 completed and authorizing the above indicated TOTAL FEES is attached.

*[X] Copy of Missing Parts Notice as required*

**SHOULD ANY ADDITIONAL FEES BE NECESSARY TO GUARANTEE THE PENDENCY OF THIS APPLICATION, THE COMMISSIONER IS HEREBY AUTHORIZED TO CHARGE THESE (or credit any overpayment) TO THE CREDIT CARD NUMBER PROVIDED in the attached Credit Card Payment Form PTO-2038.**

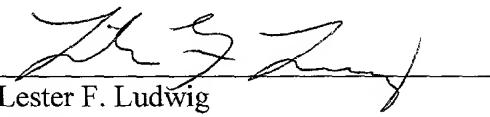
An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.

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Respectfully submitted,

By:



Lester F. Ludwig  
Inventor